

PAYROLL DEDUCTION CUSTOMER INFORMATION FORM

IMPORTANT: The information requested on this form is a regulatory (SEC/NASD) requirement to be acquired and maintained by SMITH HAYES Financial Services Corporation. It is considered private and confidential.

Shareholder Name _____

Co-shareholder _____

Address _____

City _____

State _____

Zip Code _____

() _____

() _____

Home Phone

Work Phone

Type of Account(s) ☐ Direct Investment ☐ Roth IRA ☐ Both

Social Security Number

_____-_____-_____-

_____/_____/_____
Date of Birth

(If custodial account, date of birth and social security number of the minor.)

☐ Single ☐ Divorced ☐ Widowed ☐ Married Name of Spouse _____

Client's Employer _____ Position Held _____

Employer's Address _____

Type of Business _____ How Long Employed _____

Spouse's Employer _____ Position Held _____

Investment Objectives

(check or rank)

- ___ A - Conservative income/
safety of principal
___ B - Conservative appreciation/
long term growth
___ C - Aggressive income
___ D - Aggressive appreciation

Annual Income

(from all sources)

- ___ A - under \$75,000
___ B - \$75,000 - \$150,000
___ C - \$150,000 - \$250,000
___ D - \$250,000 - \$500,000
___ E - \$500,000 +

Net Worth

(excluding residence)

- ___ A - under \$250,000
___ B - \$250,000 - \$500,000
___ C - \$500,000 - \$1,000,000
___ D - \$1,000,000 - \$5,000,000
___ E - Over \$5,000,000

Federal Tax Bracket

- ☐ 15% or less ☐ 28% or less ☐ 31% or less ☐ 36% or less Other _____

Investment Experience _____ years

Check
the funds
selected:

- | | |
|--------------------------------------|---|
| ___ AMCAP Fund | ___ Income Fund of America |
| ___ American Balanced Fund | ___ Intermediate Bond Fund |
| ___ American Mutual Fund | ___ Investment Company of America |
| ___ American High Income Trust | ___ Limited Term Tax-Exempt Bond Fund |
| ___ American Mutual Fund | ___ New Economy Fund |
| ___ Bond Fund of America | ___ New Perspective Fund |
| ___ Capital Income Builder | ___ SMALLCAP World Fund |
| ___ Capital World Growth & Income | ___ Tax-Exempt Bond Fund of America |
| ___ Cash Management Trust of America | ___ Tax-Exempt Money Fund of America |
| ___ EuroPacific Growth Fund | ___ U.S. Government Securities Fund |
| ___ Fundamental Investors | ___ U.S. Treasury Money Fund of America |
| ___ Growth Fund of America | ___ Washington Mutual Investors Fund |

Employee Signature _____ Date _____

Registered Representative _____ No. _____ Principal _____



SMITH HAYES

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MEMBER
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